

# CANADIAN FEEDLOT AUDIT

## Canadian Feedlot Common Audit Tool

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Published by:



*The content of the Canadian Feedlot Audit has been independently reviewed by the National Farm Animal Care Council and found to have met all requirements outlined in Canada's Animal Care Assessment Framework. This national framework was developed by consensus among multiple stakeholders and sets a credible process for developing animal care assessment programs based on Codes of Practice.*



The National Cattle Feeders' Association "Canadian Feedlot Audit" has achieved full equivalency to the Animal Health and Welfare and Beef Quality and Food Safety Indicators in the CRSB's **Sustainable Beef Production Standard**. A CRSB audit to ALL sustainability indicators in the CRSB Sustainable Beef Production Standard is required for full CRSB Certification. Visit [crsbcertified.ca](http://crsbcertified.ca) for details on CRSB Certification.

# Transportation Audit Form: Feedlot Cattle

Date: \_\_\_\_\_

Name and auditing company: \_\_\_\_\_

Feedlot name: \_\_\_\_\_

Feedlot location: \_\_\_\_\_

Feedlot contact: \_\_\_\_\_

Temperature/weather conditions: \_\_\_\_\_

## Core Criteria 1: Feedlot Transportation Policy and Cattle Receiving/Shipping Preparedness

- |  |       |    |
|--|-------|----|
| 1. Feedlot has a written emergency response plan, including for fed animals in transit and those injured/sick on arrival | _____ | /2 |
| 2. Feedlot staff/owners are available for receiving/shipping cattle or there are posted instructions                     | _____ | /2 |
| 3. Feedlot has extreme temperature management strategies in receiving/shipping pens                                      | _____ | /5 |
| 4. Gates in unloading/loading areas swing freely, latch securely, and have no sharp protrusions                          | _____ | /5 |
| 5. Non-slip flooring in loading/unloading areas  | _____ | /5 |
| 6. Adequate lighting in loading/unloading areas  | _____ | /5 |

Total for Core Criteria 1: \_\_\_\_\_ /24 points

Excellent - 24 points

Acceptable - 19 points

Not Acceptable - Less than 19 points

### COMMENTS FOR CORE CRITERIA 1

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## Core Criteria 2: Set-Up/Loading/Unloading of Trailer

For loading and unloading, score at least 1 trailer and up to 4 trailers each.

Score 1 or 0

Trailer	Loading				Unloading			
	1	2	3	4	1	2	3	4
Trailer properly aligned with loading/unloading dock to prevent head/legs from being caught in gaps								
Trailer loaded at proper density								
Incompatible animals segregated as required								
CLT or BQAT certified truckers								
Sum								

Total Score: # \_\_\_\_\_ %

Excellent - 100% average score  
 Acceptable - 80% average score or greater  
 Not Acceptable - Less than 80% average score

Target: ≥80%

Points

5/0

## Cattle Handling in Loading/Unloading Area

Score 1 or 0

Trailer	Loading				Unloading				Total #		%	
	1	2	3	4	1	2	3	4	L	UL	L	UL
<b>Core Criteria 3:</b> # animals prodded per load.												
<b>Core Criteria 4:</b> # falls per load.												
<b>Core Criteria 5:</b> Acceptable handling tools available and utilized as needed.												

Targets

Excellent - 0% prod use unloading, <10% prod use loading, 0% falls  
 Acceptable - ≤10% prod use unloading, ≤25% prod use loading, ≤1% falls  
 Not Acceptable - >10% prod use unloading, >25% prod use loading, >1% falls

Prod Use: Unloading ≤10%; Loading ≤25%	Points	10/0
Falls: ≤1%	Points	10/0
Acceptable Handling Tools & Use of Handling Tools: 100%	Points	10/0

Comments: (describe any inappropriate handling tools or misuse of handling tools, including inappropriate or excessive use of electric prods through truck punch holes, note reasons for prod use and falls).

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# TRANSPORTER ASSESSMENT

For loading (L) and unloading (UL), score at least 1 and up to 4 trailers each.

Trailer	Loading				Unloading				Total # Cattle	
	1	2	3	4	1	2	3	4	L	UL
# Head on trailer										
Trucking company										
Truck # or Trucker Name										
Type of trailer (P = pot, S = straight, F = farm)										
Cattle type (C = calves; Y = yearlings; F = fed; NF = non-fed)										

## Core Criteria 6: Timeliness of Unloading

not assessed

### Time to Unload Newly Arrived Cattle

Start at the time the trailer arrives on the yard until the first animal steps off the trailer.

<b>Points</b> ≤60 minutes of arrival = 4 points 61-90 minutes = 3 out of 4 points 91-120 minutes = 2 out of 4 points ≥ 120 with reason = 1 out of 4 points ≥ 120 without reason = 0 out of 4 points
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Trailer	1	2	3	4
Start Time				
End Time				
Points				

Total Score: # \_\_\_\_\_ %

Excellent - 95% or greater Acceptable - 85% to 94% Not Acceptable - Less than 85%
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Target: ≥85%	Points	5/0
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Comments:

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## Core Criteria 7: Timeliness of Loading

not assessed

Time from when the first animal steps on trailer until loaded trailer leaves the yard.

<b>Points</b> ≤60 minutes of arrival = 4 points 61-90 minutes = 3 out of 4 points 91-120 minutes = 2 out of 4 points ≥ 120 with reason = 1 out of 4 points ≥ 120 without reason = 0 out of 4 points
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Trailer	1	2	3	4
Start Time				
End Time				
Points				

Excellent - 95% or greater Acceptable - 85% to 94% Not Acceptable - Less than 85%
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Total Score: # \_\_\_\_\_ %

Target: ≥85%	Points	5/0
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Comments:

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## Core Criteria 8: Willful Acts of Abuse/Egregious Acts of Neglect

Any willful act of abuse/egregious act of neglect are grounds for automatic audit failure.

**Willful acts of abuse include**, but are not limited to: **1)** Dragging a conscious, non-ambulatory animal off truck, **2)** intentionally applying prods to sensitive parts of the animal such as eyes, ears, nose, anus or testicles, **3)** deliberate slamming of gates on cattle unless for human safety, **4)** malicious driving of ambulatory cattle on top of one another, **5)** maliciously hitting or beating an animal, **6)** animal frozen to the floor or sides of the trailer, **7) loading unfit cattle as defined per CFIA transport regulations e.g. severe lameness, injury, calving heifer/cow, uterine prolapse, severely emaciated animal (BCS<2).**

**Egregious acts of neglect include**, but are not limited to: **1)** failing to provide immediate medical care to cattle after unloading that are unfit or compromised cattle as defined per CFIA transport regulations e.g. severely lame/injured, calving, prolapse, severely emaciated and dehydrated, **2)** failing to euthanize a distressed non-ambulatory animal on a truck as soon as possible where recovery is unlikely or emergency salvage slaughter is not feasible e.g. broken leg, **3) loading compromised cattle without special provisions as defined per CFIA transport regulations,** **4) failing to provide safe water, feed, and rest to animals in a timely manner as per CFIA Transportation Regulations.**

Any willful act of abuse or egregious acts of neglect observed?

Yes  No

Comments:

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# Secondary Criteria from Cattle Transport Audit

## Secondary Item within Core Criteria 1:

1. Shipping/receiving schedules are communicated between feedlot management and staff before the scheduled cattle are to arrive or be loaded.  Yes or  No

Comments: \_\_\_\_\_  
\_\_\_\_\_

## Secondary Item within Core Criteria 2:

1. Nonslip flooring in trailer.  Yes or  No
2. Ramps are in good repair and free of obstacles with less than a 25% slope from the horizontal.  Yes or  No
3. Bedding is used as required. The trailer has a floor that is strewn with sufficient sand, straw, wood shavings or other bedding material to absorb and prevent the pooling or escape of water, urine and liquid manure.  Yes or  No
4. Cattle can stand in normal posture without contact with roof or upper deck of trailer.  Yes or  No
5. Protection from inadequate ventilation and weather conditions and toxic or noxious things, that would cause an animal to suffer, sustain an injury or die.  Yes or  No

Comments: \_\_\_\_\_  
\_\_\_\_\_

## Secondary Items within Core Criteria 3, 4 and 5:

1. Total number of slips (tally slips here): \_\_\_\_\_
2. Temperament of livestock (circle one):      Excitable      Normal      Docile
3. Did the person doing the loading/unloading do so quietly and calmly?  Yes or  No

Comments on the attitude and behavior of the people unloading/loading the cattle here. As an example, their temperament may be correlated to the number of slips and falls.

\_\_\_\_\_  
\_\_\_\_\_

## Secondary Item within Core Criteria 8:

1. Number of dead animals on the trailers (tally animals here): \_\_\_\_\_

Comments on animal condition:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Auditor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

# Cattle Transportation Audit Form – Final Scoring

Core Criteria	Total Points Available	Points or Score Achieved	Percentage Score
<b>Core Criteria 1</b> Feedlot preparedness	24		
<b>Core Criteria 2</b> Set-up, loading and alignment	5		
<b>Core Criteria 3</b> Electric prod use	10		
<b>Core Criteria 4</b> Cattle falls	10		
<b>Core Criteria 5</b> Acceptable handling tools	10		
<b>Core Criteria 6</b> Timeliness of unloading	5		
<b>Core Criteria 7</b> Timeliness of loading	5		
<b>Overall Points</b>	<b>69</b>		
<b>Core Criteria 8</b> Any egregious acts of neglect or willful acts of abuse observed?	Yes or No		<b>Pass or Fail</b>

Summary of Nonconformities from Primary Core Criteria that Require Corrective Actions:

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Feedlot passed all secondary items:  Yes or  No

Notes on Secondary Items:

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Auditor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Feedlot Operator/Manager Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Signatures attest that the feedlot audit was completed on that date. The feedlot operator or manager's signature does not signify agreement or disagreement with the findings of the auditor.

# Canadian Feedlot Audit Tool

Date: \_\_\_\_\_ Feedlot Legal Business Name: \_\_\_\_\_

Feedlot Common Name: \_\_\_\_\_

Feedlot Legal Land Location: \_\_\_\_\_ Premise ID: \_\_\_\_\_

Feedlot Contact Name: \_\_\_\_\_ Office Phone: \_\_\_\_\_

Cell #: \_\_\_\_\_ Feedlot Fax: \_\_\_\_\_ Email: \_\_\_\_\_

Feedlot Mailing Address: \_\_\_\_\_

One-Time Capacity of Feedlot (#head): \_\_\_\_\_ Number Feeding Pens: \_\_\_\_\_

# sick (hospital) pens: \_\_\_\_\_ # chronic pens: \_\_\_\_\_ # buller pens: \_\_\_\_\_ # rail pens: \_\_\_\_\_

# receiving pens: \_\_\_\_\_ # shipping pens: \_\_\_\_\_

Current Cattle on Feed (#head): \_\_\_\_\_ Type of Feedlot:  backgrounding  finishing

Type of Cattle on Feed:  calves  yearlings  cows  bulls  beef  Holstein

Type of Feedlot:  outdoor pens  indoor barn  other (describe): \_\_\_\_\_

Recent Weather (temperature, precipitation): \_\_\_\_\_

Name of Auditor: \_\_\_\_\_ Company of Auditor: \_\_\_\_\_

Auditor's Phone #: \_\_\_\_\_

Purpose of Audit:  internal (1<sup>st</sup> party or self)  2<sup>nd</sup> party  3<sup>rd</sup> party

(describe): \_\_\_\_\_

Feedlot Staff Present During Audit: \_\_\_\_\_

Comments/Other: \_\_\_\_\_

Feedlot Agreed to Participate in Audit:  Yes  No

(comments):

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## Primary Core Criteria 1: Commitment to Animal Care

Feedlot has a copy or access to the most recent version of the Canadian Beef Code of Practice	2/0
Feedlot has conducted a self-assessment of its own feedlot animal health and welfare, food safety, and beef quality management system within the last year and has supporting documentation	2/0
Feedlot has a written animal care emergency response plan	2/0
Feedlot has completed a BQA (Beef Quality Assurance) certification training program within the last 3 years	5/0

Comments: Points \_\_\_\_\_ /11

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## Primary Core Criteria 2: Feedlot Facilities

Feedlot cattle have access to areas, either natural or man-made, that provide relief from inclement weather that is likely to create a serious risk to cattle welfare	5/0
Feedlot has equipment and facilities to safely handle, restrain, treat, and segregate cattle, including nonslip floors and gates in handling areas that swing freely, latch securely, and have no sharp protrusions. Handling equipment includes chute, snake, tub or bud box and bloat/calving chute	5/0
Adequate lighting is provided in cattle handling areas	5/0
For indoor feedlot pens, indoor air quality and ventilation are maintained and there are no indicators that ammonia levels are > 25 ppm	5/0/NA

Comments: Points \_\_\_\_\_ /15 outdoor/20 indoor

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## Primary Core Criteria 3: Cattle Handling

Feedlot staff is trained on low stress cattle handling techniques	5/0
Feedlot has training records on low stress cattle handling	2/0

Comments: Points \_\_\_\_\_ /7

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## CC. 3 Cattle Handling at Chute

Assess 1/3<sup>rd</sup> of cattle at each location: chute, snake, and tub or bud box. Do not assess the same animal repeatedly at multiple locations.

Count at least 100 head or at least 1 hour –SCORE DURING ACTIVE HANDLING

Animal can only be scored once per category.

Assessment codes – mark 1 in boxes to right if condition found

Miscaught (M)	caught/restrained with head NOT fully outside of chute head bars <b>OR</b> body from the shoulders backwards NOT within chute OR next animal caught in tail/back gate <b>AND</b> not released immediately			
Vocalizer (V)	any audible vocalization (moo, bellow) during chute handling (not related to a processing activity)			
Prod (P)	touching an animal with a prod (whether or not discharge of electrical current)			
Prod Misuse (PM):	prod use with nowhere to go <b>OR</b> prod use without attempting to move using alternative means OR repeated and excessive prod use			
Falls (F)	body (head, belly, brisket) touches the floor			
	#	%	Target	Points
Miscaught (M)			0%	10/0
Vocalizer (V)			≤15%	10/0
Prod (P)			≤10%	10/0
Prod Misuse (PM):			0%	10/0
Falls (F)			≤2%	10/0
Acceptable handling tools available and used appropriately	Yes or No		Yes	10/0
Total Points	/60			

**Comments:** Record any slips, racing or jumping. Record where falls/slips occur; suspected reason for vocalizing e.g. hydraulic pressure too high in chute, miscaughts in chute (with release), sharp edges in facility; reason for jumping/racing e.g. prod use, belly bar in chute, dog biting animal, inappropriate handling equipment or inappropriate use of appropriate handling tools; reasons for electric prod misuse, and patterns of repetitive poor cattle handling behavior.

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✓	M	V	P	PM	F	✓	M	V	P	PM	F
						51					
						52					
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						99					
						100					
Σ						Total					
	M	V	P	PM	F		M	V	P	PM	F

## Primary Core Criteria 4: Nutrition and Feed Management Program

Feedlot works with a nutritionist and/or veterinarian to ensure rations meet the nutritional requirements of all cattle, including requirements for feeding during inclement weather, and how to reduce the risk of digestive disorders, such as grain overload and bloat	10/0
Feedlot has a written feeding program as required by CFIA Feed Regulations, which includes, but is not limited to mixer tests, scale testing, medicated equipment cleanout and/or segregation procedures, management of flush materials, feed recall procedures, prohibition on receiving and feeding prohibited materials i.e. banned ruminant meat/bone meal, segregation procedures for feeds of other species	10/0
Feeding records are documented as per CFIA Feed Regulations, including ration formulations, batch mix sheets, feed delivery sheets, veterinary feed prescriptions, medicated feed equipment cleanout procedures, mixer validation tests, scale calibration records	10/0
Feedlot has a training program for feed staff	5/0

Comments:

Points \_\_\_\_\_ /35

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## Primary Core Criteria 5: Animal Health Management

Feedlot cattle are identified with a CCIA/ATQ RFID ear tag or USDA EID tag and missing tags are replaced	10/0
Feedlot has a valid veterinary-client-patient relationship (VCPR) with a licensed provincial practitioner to ensure animal health and care and responsible animal health product use and food safety	10/0
Feedlot has a documented Antimicrobial Stewardship Protocol/Policy that was developed with their veterinarian to ensure responsible drug use, monitoring, and continual improvement	2/0
Feedlot has a documented Processing Protocol describing all procedures for new incoming cattle, including animal identification, vaccinations, deworming/lice treatment, implanting (if done), metaphylactic drugs (if used), branding (if done), dehorning (if done), castrating (if done), pregnancy checking or aborting (if done), weight sorting, and any other procedures	2/0
Feedlot has documented Treatment Protocol developed by their veterinarian. Treatment Protocol includes: <ul style="list-style-type: none"> <li>Requirement to monitor cattle on an ongoing basis and provide prompt treatment or care</li> <li>How to prevent, treat, control, and manage common disease and health problems in feedlot cattle, including but not limited to respiratory disease, lameness including non-ambulatory cattle, injuries, bloats, grain overloads, bullers, pregnant and calving heifers or cows, heat stress, newborn calves, broken horns, castration infections, prolapses</li> <li>What to do if an animal doesn't respond to initial treatment, including how to treat relapses (reoccurrences), and when to euthanize or cull animals</li> </ul>	2/0
Feedlot has a written Chronic and Railer Protocol on how to manage chronically ill animals and railers	2/0

## Primary Core Criteria 5: Animal Health Management (continued...)

Feedlot has a written protocol which is well understood by staff on how to promptly and properly handle non-ambulatory cattle	2/0
Feedlot has a written protocol how to promptly and properly handle seriously injured cattle e.g broken leg	2/0
Feedlot cattle are observed daily for health, sickness, and injuries by trained competent staff	2/0
Feedlot has individual animal or group processing records (vaccination, implanting, deworming)	2/0
Feedlot has individual animal treatment and mortality records, and veterinary prescriptions for all prescription drugs, including those in the feed and water	2/0
If performance enhancing technologies (e.g. implants, beta-agonists) are used, they are used as per label directions and/or veterinary prescriptions	10/0/NA
Feedlot management and/or veterinarian monitor drug usage and disease rates and the veterinarian is notified to investigate any unusual or high disease occurrences (treatment, death) and/or drug use; advising the producer how to reduce losses by examining animals and reviewing existing biosecurity, health (treatment, mortality), and feeding protocols and records	5/0
Feedlot has a documented Cattle Health Product Management Protocol and records for the receiving, handling, administration (as per BQA guidelines), storage, and inventory management of animal health products	2/0
<b>Feedlot has a Broken Needle Protocol and related records to ensure the next owner of cattle, another producer or processor, is informed of a potential broken needle in an incoming animal to ensure beef safety</b>	<b>10/0</b>
If feedlot staff replace rectal/vaginal/uterine prolapses, spay heifers, or perform other surgical procedures, pain control is used, and the procedure is performed by trained, competent staff	10/0/NA
Feedlot has a written Surgical Protocol with pain control for all surgical procedures performed by feedlot staff, including but not limited to spaying, rectal, vaginal and uterine prolapse repair, claw amputations, rumen fistula; else they have veterinary visit reports for such surgical procedures.	2/0
If the feedlot feeds heifers and they aborts them, it has a written Abortion Protocol	2/0/NA
If feedlot feeds heifers and they are not spayed, it has a documented Calving Protocol and Newborn Calf Management Protocol	2/0/NA
If the feedlot castrates bulls, they use pain control for bulls older than 6 months of age	10/0/NA
If the feedlot castrates bulls, they have approved, well maintained equipment for castrating	5/0/NA
If the feedlot dehornes cattle, they use pain control when dehorning cattle, in consultation with their veterinarian	10/0/NA
If the feedlot dehornes cattle, they have approved, well maintained equipment for dehorning or tipping horns	5/0/NA
If the feedlot brands cattle, cattle hides are dry when branded, and cattle are branded by trained people using approved, well maintained equipment for branding cattle	5/0/NA
<b>Feedlot has a Shipping Protocol that specifies procedures to ensure that no cattle are shipped to slaughter with violative drug residues</b>	<b>10/0</b>
<b>Feedlot has shipping records to verify that all shipped cattle, including railers and emergency slaughters, are checked and pass drug withdrawal periods prior to shipment to slaughter, to ensure beef safety</b>	<b>10/0</b>

## Primary Core Criteria 5: Animal Health Management (continued...)

Feedlot has documented Biosecurity Procedures, which includes: <ul style="list-style-type: none"> <li>• Policy and management of visitors to the feedlot</li> <li>• Segregation and management procedures of sick animals i.e. sick and chronic pen management</li> <li>• Cleaning or segregation of machinery and equipment used to move non-ambulatory, diseased or dead animals</li> <li>• Cleaning of re-usable veterinary equipment e.g. vaccine syringes, stomach tubes</li> <li>• Disposal of sharps in a sharp's container</li> <li>• <b>Disposal of expired animal health products as per provincial regulations</b></li> <li>• Cleaning of cattle handling facilities</li> <li>• How to manage suspected foreign animal diseases (this may be included in the Emergency Response Plan instead)</li> <li>• <b>Disposal of dead animals as per provincial regulations</b></li> <li>• Site security</li> <li>• Staff biosecurity training</li> </ul>	2/0
Feedlot has a Visitor Log as part of their Biosecurity Program	2/0
Feedlot has an animal health and biosecurity training program for staff developed and implemented by a licensed veterinarian	5/0

Comments: Points \_\_\_\_\_ /86-145

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## Primary Core Criteria 6: Environment

Feedlot has a pen surface maintenance protocol, which includes removal of manure from feedlot pens at least once annually.	2/0
Feedlot has pen surface maintenance records.	2/0

Comments: Points \_\_\_\_ /4

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## Primary Core Criteria 4, 5 and 6: Feed Management, Animal Health Management, and Environment

Score at least 5% of the home feeding pens, and 50% of the specialty pens. If there are < 5 home feeding pens in the feedlot, assess all pens. Select pens as per Introduction, pages 8 and 9. Assess all cattle in the pen after the pen-riders have checked, pulled and treated sick cattle for the day. For sick and chronic pens, only score those animals in the pen that are an egregious act of neglect or willful act of abuse that need euthanasia or immediate emergency slaughter (see pages 26-29 for definitions). Describe in comments.

				CC4. Feed	CC4. Feed	CC6. Environment	CC6. Environment	CC5. Animal Health Management Number of Cattle Observed with Condition					
Type	Pen #	# Head	DOF	Feed	Water	Properly Stocked	Clean Pen	Extreme Tag	Dead	Down	Animals Needing Attention	Comments	
Feeding				1/0	1/0	1/0	1/0						
Feeding				1/0	1/0	1/0	1/0						
Feeding				1/0	1/0	1/0	1/0						
Feeding				1/0	1/0	1/0	1/0						
Feeding				1/0	1/0	1/0	1/0						
Feeding				1/0	1/0	1/0	1/0						
Feeding				1/0	1/0	1/0	1/0						
Receiving "Specialty Pens"				1/0	1/0	1/0	1/0						
Shipping "Specialty Pens"				1/0	1/0	1/0	1/0						
Total #													
Specialty Pens	Pen #	# Head	DOF	Feed	Water	Properly Stocked	Clean Pen	Extreme Tag	Dead	Down	Animals Needing Euthanasia or Immediate/Emergency Slaughter*	Comments	
Sick				1/0	1/0	1/0	1/0						
Sick				1/0	1/0	1/0	1/0						
Sick				1/0	1/0	1/0	1/0						
Sick				1/0	1/0	1/0	1/0						
Chronic				1/0	1/0	1/0	1/0						
Rail				1/0	1/0	1/0	1/0						
Buller				1/0	1/0	1/0	1/0						
Total #													

In small feedlots, the sick, chronic and rail pens may be combined so score at least 50% of these pens if available with cattle. Receive points if condition met e.g. clean pen = 1, properly stocked = 1. For CC5. Animal Health Management record the number of animals with the condition e.g. 1 Down, 2 Animals Needing Attention.

\*egregious act of neglect

Category	Description
Feed	Feed available at least once daily in-home feeding pens
Water	Access to water at all times in-home feeding and specialty pens, including sick, chronic, railer, buller, receiving and shipping pens (no water required in temporary holding pens)
Properly Stocked	All cattle can adopt normal resting postures at the same time (consider usable space)
Clean Pens	There is no extreme mud in pens i.e. extreme mud is > 4" over the fetlock/mid-cannon bone/~12" above ground) for > 1/3 of pen floor (excluding area for feed bunk, water trough and bedding pack)

## Primary Core Criteria 4 and 6: Feeding and Environment Targets

Points if meet target

<b>Feed:</b> 100% (feed must have been provided within the last 24-hour period)	10/0
<b>Water:</b> 100% (water must have been provided in feeding pens at all times)	10/0
<b>Properly Stocked:</b> ≥90%	10/0
<b>Clean Pens:</b> ≥70%	5/0

**Comments:** (Describe reasons feed may be absent in bunk, any reasons why cattle may not be able to reach feed, as well as bunk conditions. Feed must have been provided within a 24-hour period or this is an egregious act of neglect. Water must be provided at all times in feeding and specialty pens; else, this is an egregious act of neglect. Additionally, if any non-ambulatory animals are noted, they must be provided with water. The only exception to providing water at all times is when cattle are temporarily housed in holding pens for a few hours e.g. re-implanting or weight sorting cattle. Describe any special circumstances to explain muddy pen conditions or taggy cattle e.g. weather conditions, and if pen conditions make it difficult for cattle to access feed and water or find a dry resting area. Note any efforts underway to clean pens. Describe any reasons cattle may have difficulty reaching feed or water.)

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## Primary Core Criteria 5: Health Condition of Animals in Pens

Points if meet target

HEALTH TARGETS IN FEEDING PENS (HOME, RECEIVING, SHIPPING):	
<b>Down (non-ambulatory):</b> 0%	10/0
<b>Extreme Tag:</b> ≤10%	10/0
<b>Dead:</b> 0%	10/0
<b>Animals needing attention:</b> ≤1%	10/0

Total: \_\_\_\_\_ /40

Points if meet target

HEALTH TARGETS IN SPECIALTY PENS (SICK, CHRONIC, BULLER, RAILER):	
<b>Down (non-ambulatory):</b> ≤1%	10/0
<b>Extreme Tag (Extreme):</b> ≤10%	10/0
<b>Dead:</b> 0%	10/0

Total: \_\_\_\_\_ /30

**Animals needing euthanasia or immediate emergency slaughter:** 0%

**Pass or Fail (Egregious Neglect)**

**Comments:** describe how long animals have been non-ambulatory or any extenuating circumstances to explain what you observe; do they have an action plan to deal with the adverse situation, including timeframe for resolution. Are they following the veterinarian's health protocols on how to treat and manage these animals. If the auditor notices any severely compromised animals above, the auditor must inform the feedlot immediately and request they deal with them appropriately.

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## Primary Core Criteria 7: On-Farm Humane Stunning/Euthanasia of Feedlot Cattle

Feedlot has a documented Euthanasia Protocol and Emergency Slaughter/Salvage Slaughter Protocol (if latter applicable). <b>The protocol includes requirements to euthanize or cull for salvage slaughter without delay, cattle that:</b> <ul style="list-style-type: none"> <li>• Are unlikely to recover</li> <li>• Fail to respond to treatment and convalescent protocols</li> <li>• Have chronic, severe, or debilitating pain and distress</li> <li>• Are unable to consume feed and water</li> <li>• Show continuous weight loss or emaciation</li> <li>• Are non-ambulatory and nonresponsive for more than 24 hours unless otherwise ordered treatment by feedlot veterinarian</li> </ul>	2/0
Feedlot's documented Euthanasia Protocol and Emergency Slaughter/Salvage Slaughter Protocol (if latter applicable) include: <ul style="list-style-type: none"> <li>• Requirement that non-ambulatory cattle are not dragged (i.e. willful act of abuse) or forced to move prior to stunning for emergency slaughter or euthanasia</li> <li>• Information on the correct placement and direction of a gun-shot or captive bolt</li> <li>• Information on how to confirm insensibility and death</li> <li>• Information on what to do if the first attempt doesn't render the animal insensible</li> <li>• Requirement not to move or leave the animal until confirmed dead</li> </ul>	2/0
Feedlot has acceptable stunning and euthanasia equipment for feedlot cattle. (Note: acceptable stunning and euthanasia equipment for feedlot cattle include a 22 magnum or larger caliber rifle, shotgun or penetrating captive bolt gun with secondary kill step if required)	10/0
Feedlot has ample and appropriate ammunition (properly stored) to euthanize or stun for emergency slaughter feedlot cattle at all times	10/0
Feedlot has a back-up method on premises or readily available if gun doesn't work or breaks e.g. second gun	10/0
Feedlot has a cleaning kit to maintain the stunning and euthanasia equipment	5/0
Feedlot has a record listing personnel trained and approved to euthanize animals	2/0
Feedlot has documented Carcass Disposal Protocol and records	2/0
<b>Feedlot disposes of carcasses as per provincial regulations</b>	<b>10/0</b>

Comments: Points \_\_\_\_\_ /53

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### Effective Stunning and Euthanasia

Cattle Available to Assess:  Yes or  No

During an on-site audit, if there are any animals being stunned for salvage slaughter or euthanized by gunshot, score the effectiveness of the stunning. When evaluating the effectiveness of stunning, the auditor monitors whether or not an animal is rendered insensible with a single shot. Insensibility means the animal does not vocalize, raise its head, or blink its eyes. If an animal is not rendered insensible immediately, then a second and third shot, if required, must be delivered immediately. If more than 2 shots are required to render the animal insensible or the operator does not deliver additional shots in a timely manner, taking into account the accuracy of the shot and human safety, to render the animal insensible, then stunning for insensibility is considered ineffective. Note: additional shots after insensibility may be required to render the animal dead and those shots are not counted here when determining the effectiveness of stunning for insensibility. The animal must be confirmed dead prior to movement.

Cattle available to assess?	Y/N	1	2	3	4	5
Effective stunning and euthanasia		1/0	1/0	1/0	1/0	1/0

Effective Stunning: Total: # \_\_\_\_\_ % \_\_\_\_\_

Target: ≥90%

Pass or Fail (Egregious Neglect)

Comments:

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## Primary Core Criteria 8: Egregious Acts of Neglect or Willful Acts of Abuse

Any egregious act of neglect or willful act of abuse that is observed in the feedlot are grounds for automatic audit failure.

Egregious acts of neglect include but are not limited to:

- **Failing to follow veterinary protocols related to timely euthanasia of critically ill/distressed or injured animals,**
- Failing to confirm death after euthanasia and prior to leaving and movement of the animal,
- Failing to euthanize a chronically diseased or injured animal with a BCS < 2 as per veterinary health and Euthanasia Protocols,
- Failing to follow veterinary protocols for timely treatment of an injured animal,
- Failing to provide daily feed to cattle within a 24-hour period,
- Failing to provide ad libitum water to cattle in home feeding pens,
- Failing to provide water to non-ambulatory animals,
- Failing to assist a known calving heifer or cow in a timely manner,
- Failing to assist a newborn calf in distress,
- Failing to immediately assist and provide medical care to a non-ambulatory animal,
- Failing to provide immediate medical assistance to an unfit or “compromised” animal unloaded from a livestock truck, as defined per CFIA Transportation Regulations, and
- **Loading a “compromised” animal without special transport provisions, as per CFIA Transportation Regulations.**

Willful acts of abuse include, but are not limited to:

- Dragging of conscious animals by any part of their body except in the rare case where a non-ambulatory animal must be moved from a life-threatening situation,
- Deliberate application of prods to sensitive parts of the animal such as the eyes, ears, nose, anus, vulva, udder, or testicles,
- Deliberate slamming of gates on cattle unless for human safety,
- Malicious hitting/beatings of an animal which includes forcefully striking an animal with a closed fist, foot, handling equipment e.g. sorting paddle or other hard/solid objects that can cause pain, bruising or injury,
- Deliberate driving of ambulatory cattle on top of one another,
- Tail docking unless on the advice of a licensed veterinarian,
- Abdominal surgery e.g. rumen fistula, C section, spaying, conducted by an unqualified untrained person without anesthetic and analgesia,
- Rectal/vaginal/uterine prolapse replacements with suture or amputations without anesthetic or analgesia,
- Euthanasia by means other than approved guns and bullets or euthanasia drugs administered by a licensed veterinarian,
- During euthanasia by gunshot, failing to immediately deliver additional shots if the first shot does not render the animal insensible and then dead (assuming no secondary kill step was used after rendering insensible by gunshot, such as pithing or jugular exsanguination),
- Live animal on the dead stockpile,
- Unchecked dog biting cattle in chute with cattle having nowhere to go,
- Live animal frozen to the ground,
- Branding wet cattle,
- **Loading cattle unfit for transport as per CFIA Transport Regulations.**

Observed:  Yes  No

**YES = AUTOMATIC AUDIT FAILURE**

Comments on any egregious acts of neglect or willful acts of abuse observed (if any)

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# Secondary Criteria

## Secondary Item within Core Criteria 1: Feedlot Commitment to Animal Care

1. Feedlot has a written animal care policy  Yes or  No

## Secondary Items within Core Criteria 2: Facilities

1. For indoor feedlot pens, supplementary light is provided to cattle that do not have access to natural light to facilitate normal behavior (can you see in barn?)  Yes or  No
2. Nursing heifers/cows and newborn calves are provided with an environment that is safe and clean for calving and promotes calf survival  Yes or  No

Comments on facilities: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## Secondary Items within Core Criteria 3: Cattle Handling

1. Total number miscaught in chute and immediately released (tally here): \_\_\_\_\_
2. Total number of slips (tally slips here): \_\_\_\_\_
3. Total number of cattle jumping and racing (tally jumping and racing here): **Jumping** \_\_\_\_\_ **Racing** \_\_\_\_\_
4. Temperament of cattle (circle one): **Excitable** **Normal** **Docile**
5. Did the persons handling the cattle do so quietly and calmly?  Yes or  No

Comments on attitude and behavior of people handling cattle: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## Secondary Items within Core Criteria 4: Nutrition and Feed Management Program

1. Feedlot has a documented feeding protocol that includes:  Yes or  No
- a. How to gradually transition cattle from high-forage to high-energy rations to avoid abrupt dietary changes and reduce the risk of nutrition-induced disorders, such as grain overload, liver abscesses, and bloat (describes ration changes, there is more than 1 ration)
  - b. How to ensure sufficient forage/fiber in high-energy rations to avoid digestive disorders, such as grain overload, and bloat, and reduce secondary liver abscesses
  - c. How to adjust rations when feed intake is disrupted by events such as storms, power outages, mill or truck breakdowns, or sudden major ingredient changes
  - d. How to monitor cattle behaviour, performance, body condition score, and health; adjusting rations accordingly
  - e. How to assess water quality and quantity and adjust as needed
2. Feedlot monitors feed bunks daily to assess prior consumption and adjust feeding accordingly, taking into account weather changes (i.e. feed bunk call and delivery sheets)  Yes or  No
3. Feedlot has training records for feed staff  Yes or  No
4. Feedlot takes steps to prevent exposure to toxins and feed with adverse physical qualities that limit intake or cause injury e.g. inspects incoming feeds, tests suspect feed  Yes or  No
5. Feed in bunks is of poor feed quality (frozen, moldy, foreign material, full of snow)  Yes or  No
6. Water in water bowls is of poor water quality (frozen, hot, sludgy)  Yes or  No

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

## Secondary Items within Core Criteria 5: Animal Health Management

1. Feedlot has a documented Castration Protocol, including for retained testicles (belly nuts), developed by their veterinarian that includes use of pain control or a policy to return bulls to seller or a policy to feed intact bulls  Yes or  No  NA
2. Feedlot has a documented Dehorning Protocol developed by their veterinarian that includes use of pain control  Yes or  No  NA
3. Feedlot has a documented Branding Protocol  Yes or  No  NA
4. Feedlot has animal health training records  Yes or  No

Comments:

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## Secondary Items within Core Criteria 7: Euthanasia

1. Feedlot has employee training records on euthanasia and emergency slaughter of feedlot cattle.  Yes or  No

Comments:

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## Secondary Item: Care of Other Working Animals in the Feedlot

\*\*\* non-cattle welfare section

1. Feedlot uses horses to monitor or move cattle  Yes or  No
2. Feedlot uses dogs to move cattle  Yes or  No

If yes to 1 or 2, then answer the questions below:

1. Feedlot horses/dogs are provided with feed and water daily (within 24-hour period)  Yes or  No
2. Feedlot horses/dogs are provided with shelter to protect them from extreme inclement weather that would affect their welfare  Yes or  No
3. Feedlot horses/dogs are in good body condition (BCS > 2), appear healthy and sound (i.e. no lameness), and have no open untreated wounds  Yes or  No

## Feedlot's Final Score of their Audit

Primary Core Criteria	Points Available	Points Achieved	Percentage Score %
Feedlot participation in audit	-	PASS/FAIL	-
1. Feedlot's Commitment to Animal Care	11		
2. Facilities	15 outdoor 20 indoor		
3. Cattle Handling	67		
4. Nutrition and Feed Management	55		
5. Animal Health Management	156-215 pending NA		
6. Environment	19		
7. Euthanasia	53		
7. Effective Stunning and Euthanasia	-	PASS/FAIL/Not Observed	-
8. Egregious Acts of Neglect or Willful Acts of Abuse	-	PASS/FAIL	-
<b>Total Points</b>	376-440 pending NA		

Feedlot passed all primary core criteria:      Yes \_\_\_\_\_ No \_\_\_\_\_

Summary of Nonconformities from Primary Core Criteria that Require Corrective Actions:

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Feedlot passed all secondary items:      Yes \_\_\_\_\_ No \_\_\_\_\_

Notes on secondary items:

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Auditor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Feedlot Operator/Manager Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Signatures attest that the feedlot audit was completed on that date. The feedlot operator or manager's signature does not signify agreement or disagreement with the findings of the auditor.